

Speculations on nature of set theoretic truth:  
A thesis in 4 parts followed by 4 conjectures

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A thesis in four parts

# The axioms of ZFC

**Axiom 1 (Extensionality)** Two sets  $A$  and  $B$  are equal if and only if they have the same elements.

**Axiom 2 (Pairing)** If  $A$  and  $B$  are sets then there exists a set  $C = \{A, B\}$  whose only elements are  $A$  and  $B$ .

**Axiom 3 (Union)** If  $A$  is a set then there exists a set  $C$  whose elements are the elements of the elements of  $A$ .

**Axiom 4 (Regularity or Foundation)** If  $A$  is a set then either  $A$  is empty (i. e.  $A$  has no elements) or there exists an element  $C$  of  $A$  which is disjoint from  $A$ .

**Axiom 5 (Comprehension)** If  $A$  is a set and  $\varphi(x)$  formalizes a property of sets then there exists a set  $C$  whose elements are the elements of  $A$  with this property.

- Axiom 6 (Powerset)** If  $A$  is a set then there exists a set  $C$  whose elements are the subsets of  $A$ .
- Axiom 7 (Axiom of Choice)** If  $A$  is a set whose elements are pairwise disjoint and each nonempty then there exists a set  $C$  which contains exactly one element from each element of  $A$ .
- Axiom 8 (Replacement)** If  $A$  is a set and  $\varphi(x)$  formalizes a property which defines a function of sets then there exists a set  $C$  which contains as elements all the values of this function acting on the elements of  $A$ .
- Axiom 9 (Infinity)** There exists a set  $W$  which is nonempty and such that for each element  $A$  of  $W$  there exists an element  $B$  of  $W$  such that  $A$  is an element of  $B$ .

## The axioms of ZFC<sub>0</sub>: Finite set theory

**Axiom 1 (Extensionality)** Two sets  $A$  and  $B$  are equal if and only if they have the same elements.

**Axiom 2 (Bounding)** There exists a set  $C$  such that every set is a subset of  $C$ .

**Axiom 3 (Union)** If  $A$  is a set then there exists a set  $C$  whose elements are the elements of the elements of  $A$ .

**Axiom 4 (Regularity)** If  $A$  is a set then either  $A$  is empty or there exists an element  $C$  of  $A$  which is disjoint from  $A$ .

**Axiom 5 (Comprehension)** If  $A$  is a set and  $\varphi(x)$  formalizes a property of sets then there exists a set  $C$  whose elements are the elements of  $A$  with this property.

Axiom (6a) (Powerset) For all sets  $A$  one of the following holds.

- ▶  $\mathcal{P}(A)$  exists
- ▶ there exists a set  $C$  such that  $V = \mathcal{P}(C)$  and  $A$  is not an element of  $C$

Axiom (6b) (Powerset) For all sets  $A$  one of the following holds.

- ▶  $\mathcal{P}(A)$  exists
- ▶ there exist  $B \in A$  and  $C \subseteq B$  such that  $C \notin A$
- ▶  $V = \mathcal{P}(A)$

Axiom 7 (Axiom of Finiteness) If  $A$  is a nonempty set then there is an element  $B$  of  $A$  such that for all sets  $C$ , if  $C$  is an element of  $A$  then  $B$  is not an element of  $C$ .

# The cumulative hierarchy

## Definition

Define for each ordinal  $\alpha$  a set  $V_\alpha$  by induction on  $\alpha$ .

1.  $V_0 = \emptyset$ .
2.  $V_{\alpha+1} = \mathcal{P}(V_\alpha) = \{X \mid X \subseteq V_\alpha\}$ .
3. If  $\beta$  is a limit ordinal then  $V_\alpha = \cup\{V_\beta \mid \beta < \alpha\}$ .

- ▶ It is a consequence of the ZFC axioms that for each set  $A$  there exists an ordinal  $\alpha$  such that  $A \in V_\alpha$ .
- ▶ The ZFC<sub>0</sub> axioms (1)-(6) imply that  $V = V_{\alpha+1}$  for some ordinal  $\alpha$ , adding Axiom (7),  $\alpha$  is finite.

*For each finite ordinal  $n > 0$ ,  $V_n \models \text{ZFC}_0$ .*

- ▶  $\text{ZFC}_0$  is a very weak theory.

## Theorem

*$\text{ZFC}_0$  proves its own consistency.*

- ▶ But  $\text{ZFC}_0$  does not prove there is a model of  $\text{ZFC}_0$ .

*The axioms of the form*

*“ $V_n$  exists”*

*for specific  $n$  are “large cardinal” axioms for  $\text{ZFC}_0$ .*



# Gödel sentences and $ZFC_0$

## Theorem (after Gödel)

*There is a sentence  $\Phi$  such that for all models*

$$(M, E) \models ZFC_0$$

*the following are equivalent:*

1.  $(M, E) \models \Phi$ .
2.  $(M, E) \models \text{“}ZFC_0 \vdash (\neg\Phi)\text{”}$

## The sentence $\Phi_0$

$\Phi_0$  asserts:

1.  $V_n$  exists where  $n = |V_{1000}|$ .
2. *There is a proof of  $(\neg\Phi_0)$  with length at most  $10^{24}$  from the theory:*

$\text{ZFC}_0 + \text{“} V_n \text{ exists where } n = |V_{1000}| \text{”}.$

$\Phi_0$  if true is physically verifiable from the witness.

- ▶  $\Phi_0$  is a meaningful statement about the actual physical universe.

## Question

*Is  $\Phi_0$  true?*

- ▶ Of course not. But we have no evidence (physical or mathematical) that  $\Phi_0$  is false.

## Claim

*Any coherent basis (at present) for the assertion that  $\Phi_0$  is false must also yield that the conception of  $V_n$  where  $n = |V_{1000}|$  is meaningful.*

## Thesis: Part 1

*Any coherent basis for the mathematical claim of the consistency of a formal (recursive) theory  $T$  must be paired ultimately with a conception of mathematical objects with structure, whose existence implies the consistency of  $T$ .*

# Consistency and independence in ZFC

- ▶  $\omega_1$  is the least uncountable ordinal
  - ▶ it is the set of all countable ordinals.

## Definition

1. A set  $C \subseteq \omega_1$  is *closed* if for all  $\alpha < \omega_1$  if  $C \cap \alpha$  is cofinal in  $\alpha$  then  $\alpha \in C$ .
2. A set  $S \subseteq \omega_1$  is *stationary* if  $S \cap C \neq \emptyset$  for all closed, cofinal, sets  $C \subseteq \omega_1$ .

- ▶ The sets,  $S \subset \omega_1$ , which are stationary and co-stationary are the simplest manifestation of the Axiom of Choice.
- ▶ How complicated is the structure of the stationary, co-stationary, subsets of  $\omega_1$ ?
  - ▶ Can exist a small generating family for these sets?

# The combinatorics of stationary subsets of $\omega_1$

A precise question along these lines is the following:

## Question

*Can there exist  $\omega_1$  many stationary sets,  $\langle S_\alpha : \alpha < \omega_1 \rangle$ , such that for every stationary set  $S \subseteq \omega_1$ , there exists  $\alpha < \omega_1$  such that  $S_\alpha \subseteq S$  modulo a non-stationary set?*

The assertion that  $S_\alpha \subseteq S$  modulo a non-stationary set is simply the assertion that the set,

$$S_\alpha \setminus S = \{\beta < \omega_1 \mid \beta \in S_\alpha \text{ and } \beta \notin S\},$$

is not stationary.

## Observation

*Such a sequence,  $\langle S_\alpha : \alpha < \omega_1 \rangle$ , of stationary subsets of  $\omega_1$  would give in a natural sense, a basis for the stationary subsets of  $\omega_1$  which is of cardinality  $\omega_1$ .*

## Infinite games on $\omega$ and Determinacy Axioms

- ▶ Associated to a set  $A \subseteq \mathbb{R}$  is an infinite game involving two players, Player I and Player II. The players construct a function,  $f : \omega \rightarrow \{0, 1\}$ , in stages,

(Stage 0) : Player I specifies  $f(0)$ ,

(Stage 1) : Player II specifies  $f(1)$ ,

(Stage 2) : Player I specifies  $f(2)$ ,

.....

After infinitely many stages a function  $f : \omega \rightarrow \{0, 1\}$  is constructed.

- ▶ Player I wins this run of the game if

$$\sum_{k=0}^{\infty} f(k)2^{-(k+1)} \in A,$$

otherwise Player II wins.

## Strategies

*A strategy is a function*

$$\tau : \{s : k \rightarrow \{0, 1\} \mid k \in \omega\} \rightarrow \{0, 1\}$$

*and a player follows  $\tau$  in a run of the game yielding  $f$  if at each stage  $k$  for that player,  $f(k) = \tau(f|k)$ .*

## Definition (Mycielski, Steinhaus: 1961)

The *Axiom of Determinacy*, AD, is the axiom which asserts that for *all* sets  $A \subseteq \mathbb{R}$  there is a winning strategy for either Player I or Player II in the game given by  $A$ .

- ▶ AD contradicts the Axiom of Choice.

## Question

*Is the Axiom of Choice necessary to construct a set  $A \subseteq \mathbb{R}$  for which the corresponding game is not determined?*



# Large Cardinal Axioms

## Basic template for (modern) large cardinal axioms

*A cardinal  $\kappa$  is a large cardinal if there exist an ordinal  $\alpha$ , a transitive set  $M$ , and an elementary embedding,*

$$j : V_\alpha \rightarrow M$$

*such that  $\kappa$  is the least ordinal such that  $j(\beta) \neq \beta$ .*

- ▶  $\text{CRT}(j)$  denotes the least ordinal  $\beta$  such that  $j(\beta) \neq \beta$ .
  - ▶ If  $j$  is the identity on  $\alpha$  then  $j$  is the identity on  $V_\alpha$ .
- ▶ One can require more sets to belong to  $M$ , possibly in a way that depends on the action of  $j$  on the ordinals.
  - ▶ A hierarchy of notions.
  - ▶ (Axiom of Choice) If  $M = V_\alpha$  then either  $\alpha = \lambda$  or  $\alpha = \lambda + 1$  where  $\lambda$  is the supremum of  $\langle \kappa_i : i < \omega \rangle$ ,  $\kappa_0 = \text{CRT}(j)$  and for all  $i < \omega$ ,  $\kappa_{i+1} = j(\kappa_i)$ .

# Three theories

## Theory 1

ZFC + “There exist  $\omega_1$  many stationary sets,  $\langle S_\alpha : \alpha < \omega_1 \rangle$ , such that for every stationary set  $S \subseteq \omega_1$ , there exists  $\alpha < \omega_1$  such that  $S_\alpha \subseteq S$  modulo a non-stationary set”.

## Theory 2

ZF + AD

## Theory 3

ZFC + “There exist infinitely many Woodin cardinals”.

## Theorem

*These three theories are equiconsistent.*

## Thesis: Part 2

*The conception of the universe of sets with the structure from large cardinals can account for all possible consistency claims.*

## Two large cardinal axioms in ZF

**Definition:**  $\kappa$  is an Enormous Cardinal

*There exist  $\kappa < \lambda < \gamma$  and an elementary embedding*

$$j : V_{\lambda+1} \rightarrow V_{\lambda+1}$$

*such that*

1.  $\kappa = \text{CRT}(j)$  and  $\lambda > \kappa$  is least such that  $j(\lambda) = \lambda$ ,
2.  $V_\lambda \prec V_\gamma$ .

**Definition:**  $\kappa$  is a Weak Reinhardt Cardinal

*There exist  $\kappa < \lambda < \gamma$  and an elementary embedding*

$$j : V_{\lambda+2} \rightarrow V_{\lambda+2}$$

*such that*

1.  $\kappa = \text{CRT}(j)$  and  $\lambda > \kappa$  is least such that  $j(\lambda) = \lambda$ ,
2.  $V_\lambda \prec V_\gamma$ .

## Theorem (Kunen)

*Assume the Axiom of Choice. Suppose  $\lambda$  is an ordinal and*

$$j : V_{\lambda+2} \rightarrow V_{\lambda+2}$$

*is an elementary embedding. Then  $j$  is the identity.*

## Corollary (ZFC)

*There are no Weak Reinhardt Cardinals.*

## Theorem (ZF)

*Assume there is a Weak Reinhardt Cardinal. Then*

*ZFC + “There is a proper class of Enormous Cardinals”*

*is consistent.*

- ▶ Must the Axiom of Choice be abandoned for Thesis (Part 2)?

# The effective cumulative hierarchy: $L$

## The definable power set

For each set  $X$ ,  $\mathcal{P}_{\text{Def}}(X)$  denotes the set of all  $Y \subseteq X$  such that  $X$  is logically definable in the structure  $(X, \in)$  from parameters in  $X$ .

- ▶ (Axiom of Choice)  $\mathcal{P}_{\text{Def}}(X) = \mathcal{P}(X)$  if and only if  $X$  is finite.

## Gödel's constructible universe, $L$

Define  $L_\alpha$  by induction on  $\alpha$  as follows.

1.  $L_0 = \emptyset$ ,
2. (Successor case)  $L_{\alpha+1} = \mathcal{P}_{\text{Def}}(L_\alpha)$ ,
3. (Limit case)  $L_\alpha = \bigcup \{L_\beta \mid \beta < \alpha\}$ .

$L$  is the class of all sets  $X$  such that  $X \in L_\alpha$  for some ordinal  $\alpha$ .

- ▶ (Scott) Assume  $V = L$ . There are no (modern) large cardinals.

## Definition

For each ordinal  $\alpha$ ,  $\text{HOD}_{\alpha+1}$  is the set of all sets  $a \subseteq V_\alpha$  such that:

1.  $a$  is definable in  $V_\alpha$  from ordinal parameters.
2. If  $b \in \text{TC}(a)$  then  $b$  is definable in  $V_\alpha$  from ordinal parameters.

where for each set  $a$ ,  $\text{TC}(a)$  is the smallest transitive set  $M$  with  $a \in M$ .

- ▶ The definition of  $\text{HOD}_{\alpha+1}$  is a mixture of the definition of  $L_{\alpha+1}$  and  $V_{\alpha+1}$ .

## Definition

$\text{HOD}$  be the class of all sets  $a$  such that  $a \in \text{HOD}_{\alpha+1}$  for some  $\alpha$ .

- ▶ If the existence of a proper class of Enormous Cardinals is consistent then the existence is consistent with  $V = \text{HOD}$ .

## Definition

A class  $N$  is  $\Sigma_2$ -definable if there is a formula  $\varphi(x_0)$  such that

$$N = \cup\{a \mid V_\alpha \models \varphi[a] \text{ for some ordinal } \alpha\}.$$

- ▶  $L$  is  $\Sigma_2$ -definable.
- ▶  $\langle V_\alpha : \alpha \in \text{Ord} \rangle$  is  $\Sigma_2$ -definable.
- ▶ HOD is  $\Sigma_2$ -definable.

## Definition

A class  $N$  is  $\Sigma_2$ -definable if there is a formula  $\varphi(x_0, x_1)$  and a set  $b$  such that

$$N = \cup\{a \mid V_\alpha \models \varphi[a, b] \text{ for some ordinal } \alpha\}.$$



### Definition: $N[X]$

Suppose that  $N$  is a transitive class and  $X$  is a transitive set. Then  $N[X]$  is the smallest transitive class  $M$  such that

1.  $N \subseteq M$  and  $X \cap M \in M$
2.  $M \models \text{ZF}$

### Definition: $N(X)$

Suppose that  $N$  is a transitive class and  $X$  is a transitive set. Then  $N(X)$  is the smallest transitive class  $M$  such that

1.  $N \subseteq M$  and  $X \in M$
2.  $M \models \text{ZF}$

### Lemma

Suppose  $N$  is  $\Sigma_2$ -definable. Then  $N(X)$  and  $N[X]$  are each  $\Sigma_2$ -definable.

# Vopenka's Theorem

## Theorem (Vopenka)

*For each transitive set  $X$ :*

- 1.  $\text{HOD}[X]$  is a generic extension of  $\text{HOD}$ ;*
- 2.  $\text{HOD}(X)$  is a symmetric generic extension of  $\text{HOD}$ .*

## Corollary (ZF)

*Suppose that  $\kappa$  is a Weak Reinhardt Cardinal. Then  $\kappa$  is a Weak Reinhardt Cardinal in some symmetric generic extension of  $\text{HOD}$ .*

## Speculation

*Perhaps a multiverse conception based on generic extensions could provide a framework for truth which:*

- ▶ Accounts for the consistency of Weak Reinhardt Cardinals,
  - ▶ avoiding having to abandon the Axiom of Choice.**
- ▶ Avoids having to settle the Continuum Hypothesis.*

## Definition

Suppose that  $M$  is a countable transitive set and that

$$M \models \text{ZFC}.$$

The *generic-multiverse* generated by  $M$  is the smallest set  $\mathbb{V}_M$  of countable transitive sets such that for all pairs  $(N_0, N_1)$  of countable transitive sets if

1.  $N_1$  is a generic extension of  $N_0$
2. either  $N_0 \in \mathbb{V}_M$  or  $N_1 \in \mathbb{V}_M$

then both  $N_0 \in \mathbb{V}_M$  and  $N_1 \in \mathbb{V}_M$ .

## (meta) Definition

*The Generic-Multiverse is the generic-multiverse generated by  $V$ .*

# The Generic-Multiverse and truth

## The generic-multiverse view of truth

*A sentence  $\varphi$  is a Generic-Multiverse truth if  $\varphi$  holds in each universe of the Generic-Multiverse.*

- ▶ This can be formally reduced to truth within  $V$ .

There is a (recursive) transformation of sentences giving  $\varphi^*$  from  $\varphi$  such that:

## Theorem

*For all countable transitive sets  $M$  the following are equivalent.*

- 1)  $M \models \varphi^*$ .
- 2)  $N \models \varphi$  for each  $N \in \mathbb{V}_M$ .

# The Resurrection Theorem

- ▶ A  $\Sigma_2$ -sentence is a sentence of the form:

“There exists  $\alpha$  such that  $V_\alpha \models \psi$ ”

- ▶ A  $\Pi_2$ -sentence is a sentence of the form:

“For all  $\alpha$ ,  $V_\alpha \models \psi$ ”

## Theorem (Resurrection Theorem)

*Suppose there is a proper class of Woodin cardinals and that  $\varphi$  is a  $\Sigma_2$ -sentence true in  $V$ . Then for each universe  $N$  of the Generic-Multiverse,  $\varphi$  is true in some generic extension of  $N$ .*

## Corollary

*Suppose that there is a proper class of Woodin cardinals and that  $\varphi$  is a  $\Pi_2$ -sentence. Then the following are equivalent.*

1.  $\varphi$  is true in all generic extensions of  $V$ .
2.  $\varphi$  is true in all universes of the Generic-Multiverse.

## Example

*Suppose  $\psi$  is a sentence and consider the  $\Pi_2$ -sentences*

- ▶ “ $V_{\omega+2} \models \psi$ ”
- ▶ “ $V_{\omega+2} \models (\neg\psi)$ ”

Suppose there is a proper class of Woodin cardinals and that neither of the sentences is a Generic-Multiverse truth.

*Suppose  $N$  is a universe of the Generic-Multiverse. Then:*

- ▶ *There are generic extensions of  $N$  in which “ $V_{\omega+2} \models \psi$ ”.*
  - ▶ *There are generic extensions of  $N$  in which “ $V_{\omega+2} \models (\neg\psi)$ ”.*
- 
- ▶  $V_{\omega+2}$  can be replaced by  $V_{\omega+3}$ ,  $V_{\omega+10000}$ ,  $V_{\delta_0+1}$  where  $\delta_0$  denotes the least Woodin cardinal, etc.

## Thesis: Part 3 in two parts

### Part A

*The conception of  $\Pi_2$  truth must be at least as strong as that given by the Generic-Multiverse.*

### Part B

*For the conception of  $\Pi_2$  truth, the only possibilities are*

- 1.  $V$  (Set Theoretic Platonism)
  - ▶ For each sentence  $\psi$ , the sentence " $V_{\omega+2} \models \psi$ " has determinate truth value etc.**
- 2. The Generic-Multiverse.*

## Another consequence of the Resurrection Theorem

Assume  $ZF$  and that there is a Weak Reinhardt Cardinal. Suppose that

$HOD \models$  “There is a proper class of Woodin cardinals.”

Consider the generic multiverse generated by  $HOD$ .

- ▶ If  $N$  is a universe of this generic-multiverse then there is a symmetric extension of  $N$  in which there is a Weak Reinhardt Cardinal.

*This suggests that the Generic-Multiverse conception of truth might be able to account for the consistency with  $ZF$  of the existence of Weak Reinhardt Cardinals.*



# The first multiverse law

## Definition (For a given multiverse)

1. For any universe  $N$ ,  $(\delta_0)^N$  denotes the first Woodin cardinal of  $N$ .
2. A sentence  $\varphi$  is a multiverse truth of  $V_{\delta_0+1}$  if for each universe  $N$  of the multiverse,

$$(V_{\delta_0+1})^N \models \varphi.$$

## The First Multiverse Law

The set of  $\Pi_2$ -sentences which are multiverse truths is not recursive in the set of multiverse truths of  $V_{\delta_0+1}$ .

- ▶ The multiverse given by all  $\omega$ -models

$N \models \text{ZFC} + \text{“There is a proper class of Woodin cardinals”}$

violates the First Multiverse Law.

## The second multiverse law

### Definition (For a given multiverse)

A set  $X \subseteq V_\omega$  is definable in  $V_{\delta_0+1}$  across the multiverse if for each universe  $N$  of the multiverse,  $X$  is logically definable in  $(V_{\delta_0+1})^N$  without parameters.

### The Second Multiverse Law

The set of  $\Pi_2$ -sentences which are multiverse truths, is not definable in  $V_{\delta_0+1}$  across the multiverse.

- ▶ The multiverse given by all  $\omega$ -models

$N \models \text{ZFC} + \text{“There is a proper class of Woodin cardinals”}$

satisfies the Second Multiverse Law.

## Thesis: Part 4

*The conception of a multiverse of sets should not violate both the multiverse laws.*

Therefore if the Generic-Multiverse is to be the basis for  $\Pi_2$  truth:

*The Generic-Multiverse must satisfy one of the multiverse laws.*

- ▶ The multiverse laws are really a family of laws indexed by ( $\Sigma_2$ -definitions of)  $\delta$ :
  - ▶ here  $\delta$  is the first Woodin cardinal.
- ▶ The “smaller” the choice of  $\delta$ , the “weaker” the laws.
- ▶ Taking  $\delta = \omega + 2$  yields the weakest (plausible) versions, these are the Weak Multiverse Laws.

Predictions

# $\Omega$ -logic

(The logic of the Generic-Multiverse)

## Definition

Suppose  $\varphi$  is a  $\Pi_2$ -sentence. Then

$$\models_{\Omega} \varphi$$

if  $\varphi$  holds in all generic extensions of  $V$ .

## Theorem

*Suppose there is a proper class of Woodin cardinals and that  $\varphi$  is a  $\Pi_2$ -sentence.*

*Then  $\varphi$  is a Generic-Multiverse truth if and only if  $\models_{\Omega} \varphi$ .*

# Universally Baire sets

## Definition (Feng-Magidor-Woodin)

A set  $A \subseteq \mathbb{R}$  is *universally Baire* if for all topological spaces,  $S$ , and for all continuous functions,

$$F : S \rightarrow \mathbb{R},$$

the preimage of  $A$  by  $F$  has the property of Baire in the space  $S$  (differs from an open set by a meager set).

**Example:** If  $A \subseteq \mathbb{R}$  is borel then  $A$  is universally Baire.

## Theorem (after et al)

*Suppose there is a proper class of Woodin cardinals and  $A \subseteq \mathbb{R}$  is universally Baire. Then*

1.  $L(A, \mathbb{R}) \models \text{AD}$ ,
2. *Every set  $B \in \mathcal{P}(\mathbb{R}) \cap L(A, \mathbb{R})$  is universally Baire.*

# Strong closure

## Definition

Suppose that  $A \subseteq \mathbb{R}$  is universally Baire and suppose that  $M$  is a countable transitive model of ZFC.

Then  $M$  is *strongly  $A$ -closed* if for all countable transitive sets  $N$  such that  $N$  is a generic extension of  $M$ ,

$$A \cap N \in N.$$

- ▶ If  $M$  is strongly  $A$ -closed then  $A \cap M \in M$ . But this alone does not suffice.

## The definition of $\vdash_{\Omega} \varphi$

### Definition

Suppose there is a proper class of Woodin cardinals. Suppose that  $\varphi$  is a  $\Pi_2$ -sentence.

Then  $\vdash_{\Omega} \varphi$  if there exists a set  $A \subseteq \mathbb{R}$  such that:

1.  $A$  is universally Baire,
2. for all countable transitive models,  $M$ , if  $M$  is strongly  $A$ -closed then

$$M \models \text{“}\vdash_{\Omega} \varphi\text{”}.$$

- ▶ “ $\vdash_{\Omega} \varphi$ ” is invariant across the Generic-Multiverse.



# The $\Omega$ Conjecture

## Theorem ( $\Omega$ Soundness)

*Suppose that there exists a proper class of Woodin cardinals and suppose that  $\varphi$  is  $\Pi_2$ -sentence.*

*If  $\vdash_{\Omega} \varphi$  then  $\models_{\Omega} \varphi$*

## Definition ( $\Omega$ Conjecture)

Suppose that there exists a proper class of Woodin cardinals and suppose that  $\varphi$  is a  $\Pi_2$ -sentence.

Then  $\models_{\Omega} \varphi$  if and only if  $\vdash_{\Omega} \varphi$ .

- ▶ The  $\Omega$  Conjecture is invariant across the Generic-Multiverse.

## Theorem (Fundamental Theorem of $\Omega$ -logic)

*Suppose there is a proper class of Woodin cardinals and that the  $\Omega$  Conjecture holds.*

*Let  $\mathcal{V}_\Omega$  be the set of all  $\Pi_2$ -sentences  $\varphi$  such that  $\models_\Omega \varphi$ . Then:*

- 1.  $\mathcal{V}_\Omega$  is recursive in the set of Generic-Multiverse truths of  $V_{\delta_0+1}$ .*
- 2.  $\mathcal{V}_\Omega$  is definable in  $V_{\delta_0+1}$ .*

- ▶ (1) can be strengthened to  $\mathcal{V}_\Omega$  is recursive in the set of Generic-Multiverse truths of  $V_{\omega+2}$  (which is best possible).

## Corollary

*Suppose there is a proper class of Woodin cardinals and that the  $\Omega$  Conjecture holds. Then the Generic-Multiverse violates both multiverse laws.*

## Predictions: Conjecture 1

*The  $\Omega$  Conjecture is a theorem of ZFC.*

# Supercompact and extendible cardinals

**Definition:**  $\delta$  is a supercompact cardinal

*For each ordinal  $\gamma > \delta$  there exist  $\bar{\delta} < \bar{\gamma} < \delta$  and an elementary embedding*

$$j : V_{\bar{\gamma}+1} \rightarrow V_{\gamma+1}$$

*such that  $\text{CRT}(j) = \bar{\delta}$  and  $j(\bar{\delta}) = \delta$ .*

**Definition:**  $\delta$  is an extendible cardinal

*For each ordinal  $\gamma > \delta$  there exists an elementary embedding*

$$j : V_{\gamma+1} \rightarrow V_{j(\gamma)+1}$$

*such that  $\text{CRT}(j) = \delta$  and such that  $j(\delta) > \gamma$ .*

## Definition: Extenders

Suppose that

$$j : V_{\delta+1} \rightarrow M$$

is an elementary embedding. Suppose  $\text{CRT}(j) < \alpha$  and  $V_{\alpha+1} \subset M$ .

- ▶ The extender  $E$  of strength  $\alpha$  derived from  $j$  is the function

$$E : V_{\delta+1} \rightarrow V_{\alpha+1}$$

defined by  $E(a) = j(b) \cap V_{\alpha}$ .

- ▶ Define  $\text{CRT}(E)$  to be the least ordinal  $\xi$  such that  $E(\xi) \neq \xi$ .
- ▶ Suppose that  $E$  is the extender of strength  $\alpha$  derived from  $j$ . Then  $\text{CRT}(j) = \text{CRT}(E)$ .

## Weak extender models

### Definition

A  $\Sigma_2$ -definable transitive class  $N$  is a weak extender model of  $\delta$  is supercompact if  $\delta$  is supercompact in  $N$  and this is witnessed by the class of  $F \in N$  such that

1.  $F$  is an extender in  $N$ ,
2. there is an extender  $E$  of  $V$  such that  $E \cap N = F$ .

*The Inner Model Program is the attempt to build weak extender models for various large cardinal notions—subject to non-triviality requirements.*

- ▶ *The models produced are generalizations of  $L$ .*

# Mitchell-Steel models

## Theorem (after Mitchell-Steel, Steel)

*Suppose there is a proper class of Woodin cardinals. Then there is a  $\Sigma_2$ -definable transitive class  $N$  such that:*

- 1.  $N$  is a weak extender model of  $\delta$  is a Woodin cardinal for a proper class of  $\delta$ .*
- 2.  $N \subseteq \text{HOD}$ .*
- 3. Every set  $A \subset \text{Ord}$  is generic over  $N$ .*
- 4. Suppose that there is a supercompact cardinal. Then every set  $A \in N(\mathbb{R})$  is universally Baire.*

- ▶ The supercompact is far stronger than necessary but some additional hypothesis is necessary.

# The Universality Theorem

## Theorem (Universality Theorem)

*Suppose that  $N$  is a weak extender model of  $\delta$  is supercompact.*

*Suppose that  $F$  is an extender such that:*

- ▶  $\text{CRT}(F) \geq \delta$  and  $N$  is closed under  $F$ .

*Then  $F \cap N \in N$ .*

- ▶ For any extender  $F$ ,  $L$  is closed under  $F$  but  $F \cap L \notin L$ .

## Corollary

*Suppose that  $N$  is a weak extender model of  $\delta$  is supercompact and that  $\kappa > \delta$  is an extendible cardinal. Then  $N$  is a weak extender model of  $\kappa$  is supercompact.*

- ▶ Any weak extender model of  $\delta$  is supercompact *inherits* all large cardinals from  $V$  which occur above  $\delta$ .



## Theorem

*Suppose there is a proper class of supercompact cardinals and that  $N$  is a weak extender model of  $\delta$  is supercompact such that*

- 1. Every set  $A \in N(\mathbb{R})$  is universally Baire.*
- 2. Every set bounded  $A \subset \delta$  is generic over  $N$ .*

*Then  $N \models$  “The  $\Omega$  Conjecture”.*

- ▶ By the Universality Theorem one cannot hope to prove the existence of such  $N$  from any large cardinal hypothesis.
  - ▶ The correct conjecture would be that such  $N$  exist in some generic extension of  $V$ .

## Predictions: Conjecture 2

### Conjecture

(ZFC) *Suppose that  $\delta$  is an extendible cardinal. Then there is a transitive class  $N$  such that:*

1.  *$N$  is a weak extender model of  $\delta$  is supercompact.*
2. *Every bounded set  $A \subset \delta$  is generic over  $N$ .*
3.  *$N \subseteq \text{HOD}$  and  $N$  is  $\Sigma_2$ -definable from  $\delta$ .*
4.  *$N \models$  “The  $\Omega$  Conjecture”.*

- ▶ The conjecture implies that *no* large cardinal hypothesis can refute the  $\Omega$  Conjecture.

## By the Universality Theorem:

*The successful extension of the Inner Model Program to the level of exactly one supercompact cardinal yields an **ultimate** version of  $L$ .*

- ▶ Finding the corresponding ultimate version of the axiom, “ $V = L$ ”, is possibly a **much harder problem**.

## Definition

Suppose that  $A \subseteq \mathbb{R}$  is universally Baire.

Then  $\Theta^{L(A, \mathbb{R})}$  is the supremum of the ordinals  $\alpha$  such that there is a surjection,  $\pi : \mathbb{R} \rightarrow \alpha$ , such that  $\pi \in L(A, \mathbb{R})$ .

- ▶  $\text{HOD}^{L(A, \mathbb{R})}$  denotes HOD as defined in  $L(A, \mathbb{R})$ .

## Theorem

*Suppose that there is a proper class of Woodin cardinals and that  $A$  is universally Baire.*

*Then  $\Theta^{L(A, \mathbb{R})}$  is a Woodin cardinal in  $\text{HOD}^{L(A, \mathbb{R})}$ .*

## The axiom for $V = \text{Ultimate-L}$ ?

A sentence  $\varphi$  is a  $\Sigma_3$ -sentence if it is of the form:

- ▶ There exists  $\alpha$  such that  $V_\alpha \models \psi$  and such that  $V_\alpha \prec_{\Sigma_2} V$ ; for some sentence  $\psi$ .

(meta) Conjecture: The axiom for  $V = \text{Ultimate-L}$

*There is a proper class of Woodin cardinals. Further for each  $\Sigma_3$ -sentence  $\varphi$ , if  $\varphi$  holds in  $V$  then there is a universally Baire set  $A \subseteq \mathbb{R}$  such that*

$$\text{HOD}^{L(A, \mathbb{R})} \cap V_\Theta \models \varphi$$

*where  $\Theta = \Theta^{L(A, \mathbb{R})}$ .*

- ▶ This axiom settles (modulo axioms of infinity) *all* sentences about  $\mathcal{P}(\mathbb{R})$  (and much more) which have been shown to be independent by Cohen's method.

## Consequences of $V = \text{Ultimate-L}$

Theorem ( $V = \text{Ultimate-L}$ )

*The Continuum Hypothesis holds.*

Theorem ( $V = \text{Ultimate-L}$ )

*The  $\Omega$  Conjecture holds.*

Theorem ( $V = \text{Ultimate-L}$ )

*$V$  is the minimum universe of the Generic-Multiverse.*

In contrast:

*Suppose  $N$  is a Mitchell-Steel extender model and there is a Woodin cardinal in  $N$  then:*

- ▶  *$N$  is **not** the minimum universe of the generic-multiverse generated by  $N$  even restricting to Mitchell-Steel extender models in that multiverse.*

## Predictions: Conjecture 3

### Ultimate-L Conjecture

(ZFC) *Suppose that  $\delta$  is an extendible cardinal. Then there is a transitive class  $N$  such that:*

1.  *$N$  is a weak extender model of  $\delta$  is supercompact.*
2. *Every bounded set  $A \subset \delta$  is generic over  $N$ .*
3.  *$N \subseteq \text{HOD}$  and  $N$  is  $\Sigma_2$ -definable from  $\delta$ .*
4.  *$N \models \text{“}V = \text{Ultimate-L”}$ .*

### Theorem (ZF)

*Suppose that the Ultimate-L Conjecture is provable from ZFC. Then there are no Weak Reinhardt Cardinals.*

## Claim

*The structure involved in the construction of Mitchell-Steel weak extender models at the level of Woodin cardinals arguably suffices to validate consistency claims at the level of Woodin cardinals.*

## A claim and a (serious) problem

**The structure involved in the construction of the weak extender model  $N$  witnessing Ultimate-L Conjecture cannot suffice to validate consistency claims beyond the level of one supercompact cardinal.**

## Theorem (Ultimate-L Conjecture)

*Suppose that  $\delta$  extendible cardinal. Then there is a weak extender model  $N$  witnessing the Ultimate-L Conjecture at  $\delta$  and a class generic extension  $V[G]$  such that for all  $\alpha$ :*

- (1)  $V[G]_\alpha \not\models \text{ZFC} + \text{“There is a supercompact cardinal”}$ .*
- (2) In  $V[G]$ ,  $N$  satisfies all the requirements of the Ultimate-L Conjecture at  $\delta$ .*

## Predictions: Conjecture 4

### Conjecture

( $V = \text{Ultimate-L}$ ) *Suppose that  $\lambda > \omega$  is a cardinal such that*

$$L(V_{\lambda+1}) \not\models \text{Axiom of Choice.}$$

*Then there exists a non-trivial elementary embedding*

$$j : V_{\lambda+1} \rightarrow V_{\lambda+1}.$$

- ▶ The existence of a Very Enormous Cardinal implies the existence of  $\lambda$  such that

$$L(V_{\lambda+1}) \not\models \text{Axiom of Choice.}$$

- ▶ Assuming Conjecture 3 and the consistency of the existence of a Very Enormous Cardinal, Conjecture 4 cannot be vacuously true.
  - ▶ It **is** vacuously true for Mitchell-Steel extender models.



## Claim

*Conjecture 4 is a conjecture of rich structure associated in Ultimate-L to large cardinals.*

- ▶ There is a generic extension of  $L$  in which:

$$L(\mathbb{R}) \not\models \text{“Axiom of Choice”}.$$

## Theorem (Steel)

*Suppose  $N$  is a Mitchell-Steel weak extender model. Then the following are equivalent in  $N$ :*

- (1)  $L(\mathbb{R}) \not\models \text{Axiom of Choice}$ .
- (2)  $L(\mathbb{R}) \models \text{AD}$ .

# The ultimate (meta) conjecture

## (meta) Conjecture

*Large cardinal axioms above the level of one supercompact cardinal will be validated by their structural consequences for Ultimate-L.*

- ▶ *Ultimate-L has the ultimate structure associated to large cardinals.*
- ▶ *This structure is equivalent in Ultimate-L to the occurrence of large cardinals.*
- ▶ *This structure **implies**  $V = \text{Ultimate-L}$  in the context of large (enough) cardinals.*